

## § Elliptic functions, Modular functions / forms.

↑  
(meromorphic) functions  
on  $\mathbb{C}/\Delta$

↑  
Functions/Forms on  
"moduli space of complex torus"  $\cong \mathbb{H}/SL(2, \mathbb{Z})$

### Some Fun Applications:

•  $\exp(\pi \sqrt{163}) \approx \boxed{\mathbb{Z}}$ . 999...925...

•  $\sigma_3(n) := \sum_{\substack{d|n \\ 1 \leq d \leq n}} d^3$ ,  $\sigma_7(n) := \sum_{\substack{d|n \\ 1 \leq d \leq n}} d^7$

n	1	2	3	...
$\sigma_3(n)$	1	9	28	...
$\sigma_7(n)$	1	129	2188	...

$$\sigma_7(n) = \sigma_3(n) + 120 \sum_{\substack{p+q=n \\ 1 \leq p, q < n}} \sigma_3(p) \sigma_3(q).$$

• Sum of 4 squares

$$r_4(n) := \#\{(x_1, x_2, x_3, x_4) \in \mathbb{Z}^4 \mid n = x_1^2 + \dots + x_4^2\}$$

$$= 8 \sum_{\substack{d|n \\ 4 \nmid d}} d$$

•  $\mathbb{C}/\Delta$ : complex torus (cpt. R.S.  $g=1$ )

• Fact: The only holomorphic functions on  $\mathbb{C}/\Delta$  are constant functions.  
(i.e. holo. elliptic functions)

$$f(z+\lambda) = f(z) \quad \forall z \in \mathbb{C}, \lambda \in \Delta.$$

pf:



$$\Delta = \mathbb{Z}w_1 + \mathbb{Z}w_2$$

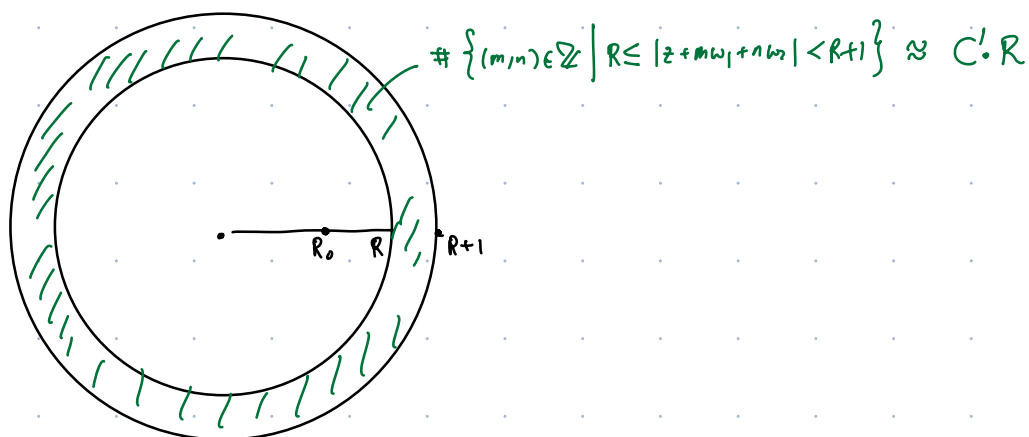
Liouville thm  $\Rightarrow$  const. fn.

e.g.  $g$  - mer. fun. on  $\mathbb{C}$ . (e.g.  $g = \frac{1}{p(z)}$ )

Consider 
$$f(z) := \sum_{m,n \in \mathbb{Z}} g(z + m\omega_1 + n\omega_2)$$

When does  $f(z)$  converge?

Suppose  $|g(z)| < \frac{C}{|z|^\alpha}$  for some  $\alpha, C > 0$  and  $|z| > \underline{R_0} > 0$ .



$$|f(z)| \leq \sum_{\substack{R=0 \\ R \in \mathbb{Z}}}^{\infty} \sum_{\substack{m,n \in \mathbb{Z} \\ R \leq |z + m\omega_1 + n\omega_2| < R+1}} |g(z + m\omega_1 + n\omega_2)| \leq \left( \sum_{R=0}^{R_0} \dots \right) + \sum_{R=0}^{\infty} \frac{C}{R^\alpha} \cdot C' \cdot R$$

$\Rightarrow$  For  $\alpha > 2$ , the series  $\sum_{m,n \in \mathbb{Z}} g(z + m\omega_1 + n\omega_2)$  converges absolutely  $\forall z$ .

e.g. Let  $g(z) = \frac{1}{(z-\alpha)(z-\beta)(z-\gamma)}$ ,  $\alpha, \beta, \gamma \in \mathbb{C}$ .

$\Rightarrow f(z) = \sum_{m,n \in \mathbb{Z}} g(z + m\omega_1 + n\omega_2)$  is a mer. elliptic fun.

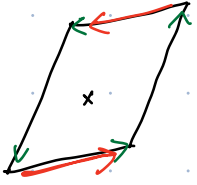
$f$  has 3 poles in the fundamental domain.

Q: Does there exist a meromorphic elliptic function w/ exactly 1 or 2 poles in the fundamental domain? (counted w/ multiplicity)

Fact:  $\nexists$  meromorphic elliptic function w/ exactly 1 simple pole in the F.D.

pf:  $f$ : meromorphic elliptic function (of  $\Lambda$ )  $\mapsto \mathbb{C}/\Lambda \xrightarrow{f} \mathbb{CP}^1$  holomorphic.

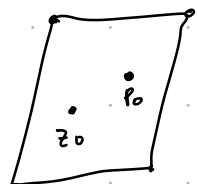
$f$  has exactly 1 (simple) pole  $\Rightarrow \deg(f) = 1 \Rightarrow f$  is isomorphism.  $\times \square$

pf:   $\frac{1}{2\pi i} \int_{\partial\Lambda} \frac{f'}{f} dz = \#(\text{zeros of } f) - \#(\text{poles of } f)$

$\Rightarrow f$  has exactly 1 simple pole  $p_0$  & exactly 1 simple zero  $z_0$  in the F.D.

$\frac{1}{2\pi i} \int_{\partial\Lambda} z \cdot \frac{f'(z)}{f(z)} dz = (\sum \text{zeros of } f) - (\sum \text{poles of } f)$

$\Lambda = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$

  $z_0 - p_0 \in \Lambda \quad \times \square$

Weierstrass  $\wp$ -function (a meromorphic elliptic function w/ 2 poles in the F.D.)

Idea:  $\sum_{\lambda \in \Lambda} \frac{1}{(z+\lambda)^2} = \sum_{m,n \in \mathbb{Z}} \frac{1}{(z+m\omega_1+n\omega_2)^2}$  doesn't work (doesn't converge)

(" $\chi^{-2}$ " is not enough to make it converge)

• Consider  $\frac{1}{(z+\lambda)^2} - \frac{1}{\lambda^2} = \frac{\lambda^2 - (z+\lambda)^2}{(z+\lambda)^2 \cdot \lambda^2} = \frac{-z^2 - 2z\lambda}{(z+\lambda)^2 \lambda^2}$

This has degree  $-3$  in  $\lambda$ .

Def: 
$$g(z) := \frac{1}{z^2} + \sum_{\lambda \in \Lambda} \left( \frac{1}{(z+\lambda)^2} - \frac{1}{\lambda^2} \right)$$

Claim:  $g(z)$  is elliptic function (w.r.t.  $\Lambda$ )

- Pf: •  $g'(z)$  is elliptic.  $\Rightarrow g'(z) = g'(z+\omega_1) = g'(z+\omega_2)$
- $g(z) - g(z+\omega_1)$  is a const. fcn, say  $g(z) - g(z+\omega_1) = C$ .
- $g(z)$  is even  $\Rightarrow C = g\left(\frac{-\omega_1}{2}\right) - g\left(\frac{\omega_1}{2}\right) = 0$ .
- $\Rightarrow g(z) = g(z+\omega_1)$ .
- Similarly, we have  $g(z) = g(z+\omega_2)$ .  $\square$

Ex: 
$$g(z) = \frac{1}{z^2} + \sum_{\lambda \in \Lambda} \left( \frac{1}{(z+\lambda)^2} - \frac{1}{\lambda^2} \right)$$

$$= \frac{1}{z^2} + \sum_{n=1}^{\infty} (2n+1) \tilde{E}_{2n+2}(\Lambda) z^{2n}$$
 is the Laurent series exp. at  $z=0$

where  $\tilde{E}_{2k}(\Lambda) := \sum_{\lambda \in \Lambda \setminus \{0\}} \frac{1}{\lambda^{2k}}$  ( $\approx$  Eisenstein series)

Fact: 
$$g'(z, \Lambda)^2 = 4 g(z, \Lambda)^3 - 60 \tilde{E}_4(\Lambda) g(z, \Lambda) - 140 \tilde{E}_6(\Lambda)$$

Pf: 
$$g = \frac{1}{z^2} + 3 \tilde{E}_4 z^2 + 5 \tilde{E}_6 z^4 + 7 \tilde{E}_8 z^6 + \dots$$

$$g' = \frac{-2}{z^3} + 6 \tilde{E}_4 z + 20 \tilde{E}_6 z^3 + \dots$$

$$p(z) = \left[ \frac{4}{z^6} - \frac{24 \tilde{E}_4}{z^2} \right] - \left[ 80 \tilde{E}_6 \right] + \dots$$

$$p^3 = \frac{1}{z^6} + \frac{9 \tilde{E}_4}{z^2} + 15 \tilde{E}_6 + \dots$$

$$\underline{p^2 - 4p^3 + 60 \tilde{E}_4 p = -140 \tilde{E}_6 + \square z^2 + \dots}$$

holo. ell. fcn.

Const. fcn.

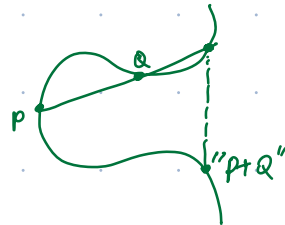
$$\parallel \\ -140 \tilde{E}_6 \quad \cdot \square$$

Rmk:  $\mathbb{C}/\Lambda \xrightarrow[\cong]{\Phi} \{ [x, y, z] \in \mathbb{C}^3 \mid y^2 = 4x^3 - 60 \tilde{E}_4(\Lambda)xz^2 - 140 \tilde{E}_6(\Lambda)z^3 \}$

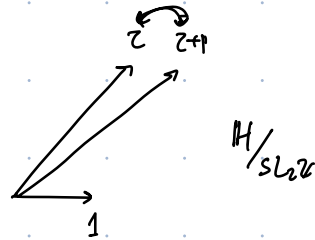
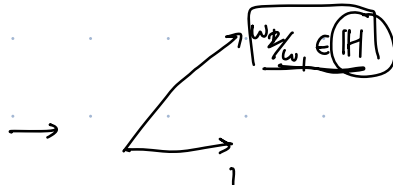
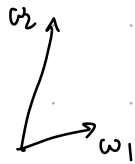
$$w \notin \Lambda \longmapsto [p(w), p'(w), 1]$$

$$w=0 \longmapsto [0, 1, 0]$$

is a group isom.



Ex:  $\underline{\Lambda = \langle 1, z \rangle, z \in \mathbb{H}}$



$$\bullet \quad p(z, z) = \frac{1}{z^2} + 3 \tilde{E}_4(z) z^2 + 5 \tilde{E}_6(z) z^4 + \dots$$

$$\bullet \quad \underline{p\left(\frac{z}{cz+d}, \frac{az+b}{cz+d}\right) = (cz+d)^2 p(z, z)} \quad (\text{use the def. of } p(z))$$

for  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \underline{SL_2 \mathbb{Z}}$

$$\Rightarrow \tilde{E}_{2k}\left(\frac{az+b}{cz+d}\right) = \underline{(cz+d)^{2k}} \tilde{E}_{2k}(z) \quad \forall k \geq 2.$$

Remark:  $p(z)$  has a double pole in any F.D.

$$\frac{1}{z^2} + \sum_{\lambda \in \Lambda} \left( \frac{1}{(z+\lambda)^2} - \frac{1}{\lambda^2} \right)$$

What are the zeros of  $p$ ?

Eichler-Zagier: Suppose  $\Lambda = \langle 1, \tau \rangle$ ,  $z \in \mathbb{H}$ .

The zeros of  $p(z, \tau)$  in the F.D. are:

$$\frac{1}{2} \pm \left( \frac{\log(5+2\sqrt{6})}{2\pi i} + 144\pi i \sqrt{6} \int_z^\infty (\sigma-z) \frac{E_4(\sigma)^3}{E_6(\sigma)^{3/2} j(\sigma)} d\sigma \right)$$

Thm Any merom. ell. fun. can be written as a rational polynomial in  $p, p'$ .

pf: •  $f(z)$  merom. ell. fun.

$$f(z) = \left( \frac{f(z) + f(-z)}{2} \right) + \left( \frac{f(z) - f(-z)}{2} \right)$$

↑ even. ell.      ↑ odd. ell.

•  $(p')$  odd ell. fun.  $\Rightarrow$  it suffices to consider even merom. ell. fun.  $f$

• Suppose  $z_i^{*0}$  are poles of  $f$  in the F.D. of order  $n_i$

then  $f(z) \cdot \prod_i (f(z) - p(z_i))^{n_i}$  only has pole at 0 in the F.D.

•  $f(z) = \frac{a_{-2n}}{z^{2n}} + \dots$  Laurent series exp. at  $z=0$ .

•  $\frac{f(z) - a_{-2n} f(z)^n}{z^{2n-2}} = \dots$  even ell.

•  $f(z) - (a_{-2n} f(z)^n + \dots + * p(z)) = \boxed{\text{even. holo. ell.}} = \text{constant. } \square$

## § Modular functions & modular forms.

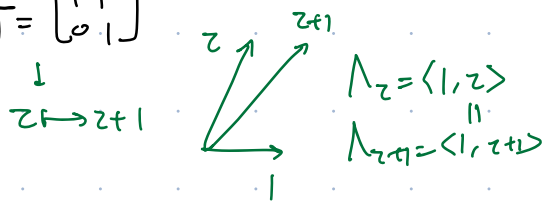
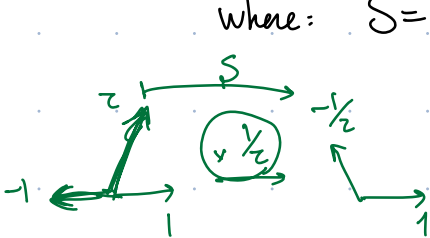
↓  
 $f: \mathbb{H} \rightarrow \mathbb{C}$  satisfies  $f\left(\frac{az+b}{cz+d}\right) = f(z) \quad \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2 \mathbb{Z}$ .

Rmk: •  $-\mathbb{I}_2 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \curvearrowright \mathbb{H}$  trivially

reduce to the action  $PSL_2 \mathbb{Z} = SL_2 \mathbb{Z} / \{\pm \mathbb{I}\} \curvearrowright \mathbb{H}$ .

•  $PSL_2 \mathbb{Z} = \langle S, T \mid S^2 = (ST)^3 = \mathbb{I} \rangle$ .

where:  $S = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ ,  $T = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$



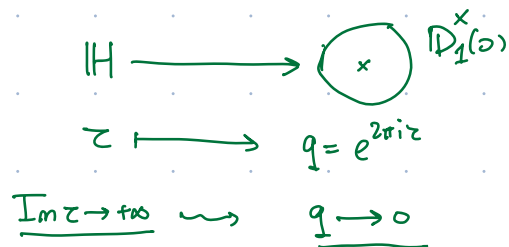
•  $f$  is a modular function on  $\mathbb{H} \iff$

$$\boxed{f(z) = f(z+1) = f\left(-\frac{1}{z}\right) \quad \forall z \in \mathbb{H}.}$$

Rmk: The "simplest" modular function is the  $j$ -function. (any non-zero modular function is a rational polynomial in  $j(z)$ ).

$$j(z) = \frac{(1 + 240 \sum_{n=1}^{\infty} \sigma_3(n) q^n)^3}{q \prod_{n=1}^{\infty} (1 - q^n)^{24}}$$

where  $q = e^{2\pi iz}$ .



Def:  $k \geq 0$ . A holo. fcn.  $f: \mathbb{H} \rightarrow \mathbb{C}$  is a modular form of weight  $k$

- if
- $f\left(\frac{az+b}{cz+d}\right) = (cz+d)^k f(z) \quad \forall z \in \mathbb{H}, \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2 \mathbb{Z}$ .
  - $f(z)$  is bounded as  $\text{Im}(z) \rightarrow +\infty$ .

Rmk: The 1<sup>st</sup> condition is equivalent to:

- $f(z+1) = f(z)$
- $f\left(\frac{1}{z}\right) = z^k f(z)$ .

Rmk: For  $f$  with  $f(z+1) = f(z)$ , it's convenient to introduce  $q = e^{2\pi iz}$ .

( $f$  can be regarded as a fcn in  $q$ )

$$\begin{array}{ccc} \mathbb{H} & \longrightarrow & \mathbb{D}_1^x(0) \\ z & \longmapsto & e^{2\pi iz} = q \\ \text{Im}z \rightarrow +\infty & \rightsquigarrow & q \rightarrow 0 \end{array}$$

" $f$  is bounded near  $\text{Im}z \rightarrow +\infty$ "  $\Leftrightarrow$  " $f(q)$  can be extended to a holo. fcn on  $\mathbb{D}_1(0) = \{q \in \mathbb{C} \mid |q| < 1\}$ ".

Rmk: Consider  $f(z)dz$  on  $\mathbb{H}$ . Is it invariant under  $SL_2 \mathbb{Z}$ -action?

$$f\left(\frac{az+b}{cz+d}\right) d\left(\frac{az+b}{cz+d}\right) = f\left(\frac{az+b}{cz+d}\right) \frac{dz}{(cz+d)^2}$$

$\Rightarrow f(z)dz$  is  $SL_2 \mathbb{Z}$ -invar. form  $\Leftrightarrow f\left(\frac{az+b}{cz+d}\right) = (cz+d)^2 f(z)$   
 $\Leftrightarrow f$  is modular form of wt 2.

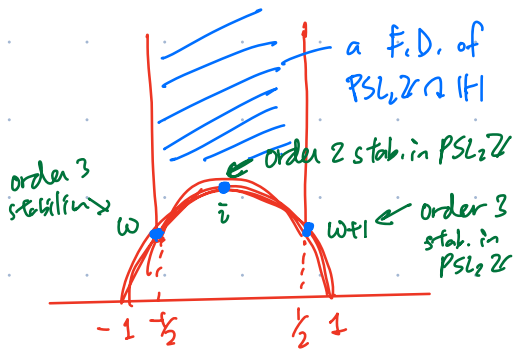
Similarly,  $f(z)(dz)^{\otimes k}$  is  $SL_2 \mathbb{Z}$ -invar.  $\Leftrightarrow f$  is modula fcn of wt  $2k$ .

- Ex/Fact:
- If  $k$  is odd, then the only modular form of wt  $k$  is the zero function.
  - If  $f_i$  is modular form of weight  $k_i$  ( $i=1,2$ ), then  $f_1 \cdot f_2$  is a modular form of wt  $k_1 + k_2$   
 $\Rightarrow$  Modular forms form a graded ring.

e.g.  $\tilde{E}_4(z), \tilde{E}_6(z), \dots$  modular forms of wt  $4, 6, \dots$

$$\begin{array}{ccc} \text{normalize } \left\{ \begin{array}{l} \tilde{E}_4(z) \\ \tilde{E}_6(z) \end{array} \right\} & & \left\{ \begin{array}{l} E_4(q) \\ E_6(q) \end{array} \right\} \\ & & = 1 + O(q) \end{array}$$

Valence formula:  $f: \mathbb{H} \rightarrow \mathbb{C}$  (nonzero) modular form of weight  $k$ .



$$v_{\infty}(f) + \frac{1}{2} v_i(f) + \frac{1}{3} v_{\omega}(f) + \sum_{z \in \mathbb{H} / \text{PSL}_2\mathbb{Z} \setminus \{i, \omega\}} v_z(f) = \frac{k}{12}$$

$\uparrow$  order of zero of  $f$  at  $q=0$ .       $\uparrow$  order of zero of  $f$  at  $i$        $\uparrow$  sum over orbits of  $\text{PSL}_2\mathbb{Z}$  in  $\mathbb{H}$  except the orbits of  $i$  and  $\omega$

Coro: Let  $M_k := \{\text{modular forms of weight } k\}$ .

•  $\underline{k < 0}$ :  $M_k = \{0\}$ .

•  $\underline{k = 0}$ :  $M_0 = \{\text{constant functions}\}$ .

$(f \in M_0 \Rightarrow v_z(f) = 0 \quad \forall z \in \mathbb{H} \cup \{\infty\})$

Consider  $g \equiv f(2i)$ .  $f - g$  is still a modular form of wt 0, with a zero at  $2i$ .

$\Rightarrow f - g \equiv 0 \Rightarrow f$  is const. fn.)

•  $\underline{k = 2}$ :  $M_2 = \{0\}$

•  $\underline{k = 4}$ :  $M_4 = \langle E_4 \rangle$ .  $v_w(f) = 1$  with no other zeros

$(f \in M_4 \Rightarrow v_w(f) = 1$  with no other zeros.

For any other  $g \in M_4$ , we have  $f/g \in M_0 \Rightarrow f \equiv (\text{const.})g$ .

$\Rightarrow M_4$  is 1-dim<sup>l</sup>.)

•  $\underline{k = 6}$ :  $M_6 = \langle E_6 \rangle$ .  $v_i(f) = 1$  with no other zeros.

•  $\underline{k = 8}$ :  $M_8 = \langle E_8 \rangle$ .  $v_w(f) = 2$  with no other zeros.

Coro:  $E_8 = E_4^2$ . ( $\Rightarrow$  relation between  $\sigma_3, \sigma_4$ )

•  $\underline{k = 10}$ :  $M_{10} = \langle E_{10} \rangle$ .  $v_i(f) = v_w(f) = 1$  with no other zeros

Coro:  $E_{10} = E_4 E_6$  ( $\Rightarrow$  relation among  $\sigma_3, \sigma_4, \sigma_5$ )

Lemma:  $M_{12} = \langle E_4^3, E_6^2 \rangle$  is 2-dim<sup>ℝ</sup>.

pf. •  $E_4^3, E_6^2$  are linearly independent. (Follows from the zeros of  $E_4, E_6$ ).

$$\begin{aligned} \bullet \Delta &:= \frac{E_4^3 - E_6^2}{1728} \in M_{12} & E_4^3 &= 1 + O(q) \\ &= q + O(q^2) & E_6^2 &= 1 + O(q) \\ & & E_4^3 - E_6^2 &= 1728q + O(q^2). \end{aligned}$$

has a zero of order 1 at  $q=0$ . ( $v_\infty(\Delta) = 1$ )

$\Rightarrow \Delta$  has no zeros in  $\mathbb{H}$ .

• For  $f \in M_{12}$ .  $f(q) = a_0 + a_1q + a_2q^2 + \dots$

$$\Rightarrow \underline{f(q) - a_0 E_4^3} = *q + O(q^2) \in M_{12}.$$

$$\Rightarrow f(q) - a_0 E_4^3 = (\text{const.}) \Delta$$

$$\Rightarrow f \in \langle E_4^3, E_6^2 \rangle. \quad \square$$

Thm.  $\bigoplus_{k \in \mathbb{Z}} M_k = \mathbb{C}[E_4, E_6]$ .

pf. Prove by induction in  $k$ . We've proved the cases  $k \leq 12$ .

• Let  $f \in M_k$ ,  $k > 12$ .

• Choose  $a, b \in \mathbb{Z}_{\geq 0}$  s.t.  $4a + 6b = k$ .

•  $f - f(\infty) E_4^a E_6^b \in M_k$  has a zero at  $\infty$ .

$$\Rightarrow \frac{f - f(\infty) E_4^a E_6^b}{\Delta} \in M_{k-12}. \quad \leftarrow \text{can be written as a poly in } E_4, E_6 \text{ by inductive hypothesis.} \quad \square$$

Def  $j(z) := \frac{E_4(z)^3}{\Delta(z)} = \frac{1728 E_4(z)^3}{E_4(z)^3 - E_6(z)^2}$

- $j(z)$  is invariant under the action  $SL_2\mathbb{Z} \curvearrowright \mathbb{H}$ .
- $j(z)$  has a simple pole at  $\infty$  ( $q=0$ ), with no poles in  $\mathbb{H}$ .  
 $(j(q) = \frac{1}{q} + 744 + 196884q + \dots)$
- $j$  has zero of order 3 at (the orbit of)  $\omega$ , no other zeros.
- $j(z) - 1728$  has zero of order 2 at (the orbit of)  $i$ , no other zeros.

Thm Any merom. modular function is a rational poly. of  $j(z)$ .

pf: •  $f$  is merom. modular fun. with poles at  $p_1, \dots, p_k \in \mathbb{H}$  of order  $n_1, \dots, n_k$ .

Then:  $f(z) \cdot \prod_{i=1}^k (j(z) - j(p_i))^{n_i}$  is a merom. modular fun. with pole only at  $\infty$ . ( $q=0$ )

• Suppose  $f(q) = \underline{a_{-n}} q^{-n} + \dots$

•  $f(q) - a_{-n} j(q)^n = \frac{*}{q^{n-1}} + \dots$  merom. modular fun.

•  $f(q) - (* j(q)^n + * j(q)^{n-1} + \dots + * j(q)) = \underbrace{(* + *q + \dots)}_{\text{holo. fun. in } q} \equiv c$

$\swarrow$  modular fun.  $\searrow$  modular form of wt 0  
 $\downarrow$   
 const. fun. □

Rmk: Say 2 integral positive-definite quadratic form  $D := b^2 - 4ac < 0$

$$Q(x,y) = ax^2 + bxy + cy^2, \quad Q'(x,y) = a'x^2 + b'xy + c'y^2$$

are equivalent: if they're the same up to a  $SL_2\mathbb{Z}$ -change of variable.

(e.g.  $x' = x+y, y' = y$ ).

Easy Fact: • "equivalent"  $\iff$  " $D = D'$  and  $j\left(\frac{b+\sqrt{D}}{2a}\right) = j\left(\frac{b'+\sqrt{D'}}{2a'}\right)$ "

• For each  $D < 0$ ,  $\exists$  finitely many equiv. class w/ disc.  $D$ .

$\implies$  a finite set of  $j$ -values for each  $D < 0$ .

Hard Fact: • For each  $D < 0$ ,  $\prod_{\substack{[Q] \\ \text{disc}(Q)=D}} (x - j([Q])) \in \mathbb{Z}[x]$ .

• When there is only one equiv. class w/ disc.  $D$ .

$$(\iff D = -1, -2, -3, -7, -11, -19, -43, -67, -163)$$

the  $j$ -value of the quad. form is an integer.

e.g.  $Q(x,y) = x^2 + xy + 41y^2, \quad D = -163.$

$$\implies \boxed{j\left(\frac{1+\sqrt{-163}}{2}\right) \in \mathbb{Z}}$$

$$j(q) = \frac{1}{q} + 744 + 196884q + \dots$$

$$j(z) = \frac{1}{e^{2\pi iz}} + 744 + 196884 e^{2\pi iz} + \dots$$

$$\mathbb{Z} \ni j\left(\frac{1+\sqrt{-163}}{2}\right) = \frac{1}{e^{-\pi\sqrt{-163}}} + 744 + \underbrace{196884 e^{-\pi\sqrt{-163}} + \dots}_{\text{small}}$$

$$\approx e^{\pi\sqrt{-163}} + 744 + \underbrace{\dots}_{\text{small}} \implies e^{\pi\sqrt{-163}} \approx \mathbb{Z}$$